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Wayne O. Stacy
(214) 855-4120
wstacy@jenkins.com

Attn: APPLICATION PROCESSING
DIVISION
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL
"EXPRESS MAIL" Mailing Label No. 115250222215
Date of Deposit: June 3, 2000
I hereby certify that this paper or fee is being deposited with the U.S. Postal
Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on
the date indicated above and is addressed to Attn: Application Processing
Division, Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Christy Wilson

Signature

Re: Applicant(s): Chien, et al.
Serial No.: 09/434,318
Filing Date: November 4, 1999
For: FLIP-CHIP LIGHT-EMITTING DEVICE
Docket No.: 45688-00002

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the
above-referenced patent application.

1. Response to Notice of Missing Parts of Application
2. Copy of Notice of Missing Parts of Application
3. Declaration and Power of Attorney (signed by Jerry Wu, President of Uni Light)
4. Petition for Filing by Other Than All the Inventors Under 37 CFR 1.47(a) (including
draft of new Declaration of Facts, unsigned and Authorization letter from Lee & Li)
5. Declaration of Facts by Wesley Shen
6. Declaration of Facts by Fzung Feng Wu (with Exhibits A-G)
7. Petition for Extension of Time (4 months)
8. Acknowledgment Postcard

Please address all communications related to this to:

Stanley R. Moore
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447

Respectfully submitted,

Wayne O. Stacy
Registration No. 45,125

PATENT APPLICATION

Docket No. 45688-00002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Chien, et al.

Serial No. 09-434,318

Filed November 4, 2000



For **FLIP-CHIP LIGHT-EMITTING DEVICE**

**ATTN: APPLICATION PROCESSING
DIVISION**

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Date of Deposit: June 3, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Attn: Application Processing Division, Assistant Commissioner for Patents, Washington, D.C. 20231.

Type or Print Name: Christy Wilson

Signature

Christy Wilson

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts mailed by the Application Branch on December 3, 1999, you will find enclosed a Declaration and Power of Attorney duly executed by Jerry Wu, President of Uni Light Technology Incorporation (Uni Light). Also enclosed are a Petition for Filing by Other Than All the Inventors Under 37 CFR 1.47(a), a Declaration of Facts by Mr. Wesley Shen, a senior staff member of Uni Light, and a Declaration of Facts by Mr. Fzung Feng Wu, President of Uni Light.

PATENT APPLICATION

Docket No. 45688-000002

Please charge the amount of \$1,222.00 to Deposit Account No. 10-0447 to cover the surcharge for all items required in the Notice.

It is respectfully submitted that no additional parts are required to be filed with regard to the above-referenced application, and the application should therefore be processed accordingly.

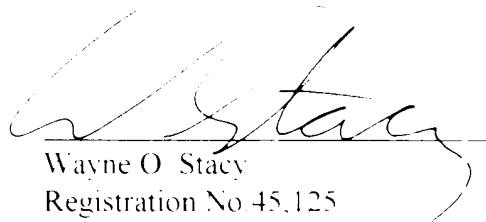
CHARGE STATEMENT: If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20. OR credit any overpayment to our Deposit Account No. 10-0447, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Respectfully submitted,

JENKENS & GILCHRIST,

A Professional Corporation


Wayne O. Stacy
Registration No. 45,125

1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
214 855-4120
214 855-4300 FAX

BANKING, SECURITIES, INSURANCE,
TRANSMISSION CONTRACTS, CORPORATE
INVESTMENT, IPR ENFORCEMENT,
PATENT, TRADEMARK, COPYRIGHT,
LITIGATION, ARBITRATION
GOVERNMENT CONTRACTS AND INFRASTRUCTURE

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LEE AND LI
ATTORNEYS-AT-LAW

E-mail: attorneys@leeandli.com
TEL: 886-2-27153300
FACSIMILE: 886-2-2713-3966, 2-2718-4389 (TF)
886-2-2713-3970, 2-2713-3999 (10F)
886-2-2718-8497 (PATENT)
886-2-2718-7099 (TRADEMARK)
886-2-2514-9841 (BANKING)

31 May 2000

Our Ref: US-2298 & US-2330-WLJ

BY COURIER

Mr. Wayne O. Stacy
Jenkins & Gilchrist
1445 Ross Avenue
Suite 3200
Dallas, Texas 75202
U. S. A.



URGENT

Re: Uni Light Technology Incorporation
U.S. Pat. Appln. Nos. 09/434, 318 & 09/434,316
Your Ref: 45688-00002 & 45688 00003
Our File No. US-2298 & US-2330-WLJ

Dear Mr. Stacy:

We refer to your letter dated May 23.

We note that the documents attached to your above letter pertaining to the Petition for Filing by Other Than All the Inventors that must be filed in the United States Patent Office with regard to the above-referenced applications. We have the Declaration of Facts to be signed by Messrs. Fzung Feng Wu and Wesley Shen respectively for each of the above-referenced applications. However, please note that Mr. Fzung Feng Wu is the representative of the applicant (Uni Light Technology Incorporation) rather than an attorney at Lee and Li. Mr. Wu duly have personal knowledge of the facts for the above applications. **You are authorized to modify the states 1 and 2 for each of the Declaration of Facts, which have been executed by Mr. Wu respectively.**

If you have any questions, please let us know.

Sincerely yours,

LEE AND LI

C. V. Chen
CVC/wlj/jan
Encl.
cc: Mr. Eric Chen (Lee and Li)

合 北 市 105 敦 化 北 路 201 號 7 樓
7th Floor, 201, Tun Hua N. Road, Taipei 105, Taiwan R.O.C.
Telephone: 02-2715-3300 Fax: 02-2713-3966

* 接 機 電 用 *

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAME: APPLICANT

ATTORNEY DOCKET NO./TITLE



DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due

\$ for total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(b)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

08/2000 JADD01 00000025 100442 0943518

FC:101 760.00 CH
FC:103 72.00 CH
FC:104 260.00 CH
FC:105 130.00 CH



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

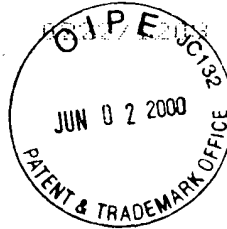
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/404,318

11/04/99

45688-00002

45688-00002

JENKENS & GILCHRIST PC
3200 FOUNTAIN PLACE
1445 ROSS AVENUE
DALLAS TX 75202-2799

DATE MAILED:

12/03/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1222

☒ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 72 for 4 total claims over 20.

\$ for independent claims over 3.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.215(b)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

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1/2000 JAD/D01 00000025 100447 0943516

760.00 CH
72.00 CH
260.00 CH
130.00 CH:101
:103
:104
:105